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for the Substantively Consolidated SIPA Liquidation  
of Bernard L. Madoff Investment Securities L.L.C.  
and the Estate of Bernard L. Madoff*

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES INVESTOR PROTECTION  
CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT  
SECURITIES LLC,

Defendant.

Adv. Pro. No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of  
Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

AMERICAN SECURITIES MANAGEMENT, L.P.,  
formerly known as AMERICAN SECURITIES,  
L.P., *et al.*

Defendants.

Adv. Pro. No. 10-05415 (SMB)

**NOTICE OF ADJOURNMENT OF PRE-TRIAL CONFERENCE**

**PLEASE TAKE NOTICE** that the pre-trial conference in the above-referenced adversary proceeding, which was previously scheduled for January 25, 2017, has been adjourned to **March 29, 2017 at 10:00 a.m.**

**PLEASE TAKE FURTHER NOTICE** that the above-referenced pre-trial conference will be held before the Honorable Stuart M. Bernstein, United States Bankruptcy Judge.

Dated: January 23, 2017  
New York, New York

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SIPA Liquidation of Bernard L. Madoff  
Investment Securities LLC and the Estate of  
Bernard L. Madoff*

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owner of one or more IRAs, and as custodian  
for Catherine L. Steinmann;  
Catherine L. Steinmann;  
Gabriel B. Steinmann;  
Joshua Steinmann;  
Jennifer E. Steinmann;  
David P. Steinmann IRA Rollover #1 & #2;  
David Steinmann Defined Benefit Plan;  
David P. Steinmann Money Purchase Pension  
Plan;  
JJG Enterprises; and  
1185 Park Avenue Foundation, Inc.*

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Abe Mastbaum IRA Rollover;  
Abe Mastbaum IRA SEP; and  
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*Attorneys for Defendants*

*Benjamin E. Nickoll, as trustee of the Charles  
D. Klein Generation Skipping Trust DTD  
7/31/01;*

*CDK Partners;*

*Nan M. Harman, as trustee of the Visalia Trust  
UAD;*

*Reed L. Harman, as trustee of the Visalia Trust  
UAD;*

*The Nan M. and Reed L. Harman Foundation;  
Andrew B. Klein, individually, as beneficial  
owner of one or more IRAs, as trustee and  
beneficiary of the Charles D. Klein Generation  
Skipping Trust DTD 7/31/01, as trustee of the  
Andrew Klein Trust DTD 12/27/97, as trustee  
of the Elizabeth Klein Trust DTD 12/27/97 and  
as grantor and trustee of the Andrew B. Klein  
1997 Trust DTD 5/15/97;*

*Charles D. Klein, individually, as beneficial  
owner of one or more IRAs, as custodian  
and/or trustee of the Charles D. Klein Money  
Purchase Pension Plan, as beneficiary of the  
Charles D. Klein Generation Skipping Trust  
DTD 7/31/01, as custodian and/or trustee of  
the Jane P. & Charles D. Klein Foundation,  
and as custodian and/or trustee of The Charles  
and Jane Klein Family Fund;*

*Elizabeth Klein, individually, as trustee and  
beneficiary of the Charles D. Klein Generation  
Skipping Trust DTD 7/31/01 and as trustee and  
beneficiary of the Elizabeth Klein Trust DTD  
12/27/97;*

*Jane P. Klein, individually, as beneficial owner*

*of an IRA, as custodian for Andrew B. Klein and Elizabeth Klein, as trustee of the Trust under will of Donald W. Parsons FBO Jane P. Klein, as trustee of the Elizabeth Klein Trust DTD 12/27/97, as trustee of the Charles D. Klein Generation Skipping Trust DTD 7/31/01, and as trustee of the Andrew Klein 1997 Trust DTD 5/15/97;*  
*The Charles and Jane Klein Family Fund;*  
*Klein Family Holdings, L.L.C.;*  
*Jane P. Klein IRA;*  
*Charles D. Klein IRA Rollover;*  
*Charles D. Klein Money Purchase Pension Plan;*  
*Joseph A. Rossetti, as beneficial owner of an IRA;*  
*Joseph A. Rossetti IRA Rollover;*  
*Susan C. Wolfe, as trustee of the Trust under will of Donald W. Parsons FBO Jane P. Klein;*  
*Laila Hafner, as beneficial owner of an IRA;*  
*Laila Hafner IRA;*  
*Michael G. Fisch;*  
*Michael G. Fisch IRA;*  
*Michael G. Fisch Profit Sharing Plan;*  
*Arlene Marie Pettingill;*  
*Laura M. Roberson-Fisch, as beneficial owner of an IRA ;*  
*Laura M. Roberson-Fisch Money Purchase; Pension Plan; and*  
*Laura M. Roberson-Fisch Profit Sharing Plan.*

**PROSKAUER ROSE LLP**

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owner of an IRA, and as trustee of the Neil B.  
Goldstein 2007 Trust;  
Joyce Goldstein IRA;  
Neil B. Goldstein, individually, as beneficial  
owner of one or more IRAs, as grantor of the  
Neil B. Goldstein 2007 Trust, and as  
beneficiary of the Jean Weiner 1991 Grantor  
Retained Annuity Trust;  
Neil B. Goldstein IRA Rollover;  
South Lake, L.L.C.; and  
Darmel Management, L.L.C.*

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Josephine G. Anagnos;  
Steven Anagnos; and  
Maria Anagnos-Pierce.*

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By: /s/ Ivo Rothschild

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*On Behalf of pro se Defendant  
Ivo Rothschild*

By: /s/ Wallace Aptman

1654 Bridgewood Drive  
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*On Behalf of pro se Defendants  
Wallace Aptman and  
Wallace Aptman IRA Rollover Account*

By: /s/\_\_\_\_\_

2117 NW 2nd Ave  
Wilton Manors, FL 33311  
*On Behalf of pro se Defendant  
Joseph J. Nicholson individually and as Trustee  
of the Joseph J. Nicholson Charitable  
Remainder Unitrust*

**AKERMAN LLP**

By: /s/ Dean A. Dickie

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*Attorney for Defendants*

*Anthony R. Grillo as Trustee of the Joseph J. Nicholson Charitable Remainder Unitrust and Barry F. Margolius as Trustee of the Joseph J. Nicholson Charitable Remainder Unitrust.*

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ABADY LLP**

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*Attorney for Defendants*

*American Securities Management, L.P., f/k/a American Securities, L.P.; American Securities Opportunity Fund, L.P.; American Securities Holdings Corp.; American Securities Group, L.L.C.; AS Hirota Holdings Corp.; Elizabeth R. Varet, individually, and as custodian for Joseph R. Varet, as custodian and/or Trustee of the Elizabeth R. Varet Money Purchase Pension Plan, as grantor of the 2004 V Trust for Elizabeth R. Varet, as trustee of the Apollo Trust for Alice R. Sigelman UAD 2/10/69, as trustee and beneficiary of the Apollo Trust for Elizabeth R. Varet UAD 2/10/69, as trustee of the Apollo Trust 2/10/69 FBO Nina Rosenwald, as settlor of Michael A. Varet Trust UAD 11/9/94, as settlor of the Trust 11/9/94 (A. Anagnos et. al., Trustees), as trustee of the Issue 1 Trust UAD 8/30/41 FBO Nina Rosenwald, as trustee of the Issue 1 Trust UAD 8/30/41 FBO Alice Rosenwald, as trustee and beneficiary of the Issue 1 Trust UAD 8/30/41 FBO Elizabeth R. Varet, as trustee of the Issue Trust 5 UAD*



*9/28/51 FBO Nina Rosenwald, as trustee of Issue Trust 5 UAD 9/28/51 FBO Alice Rosenwald, as trustee of the Issue Trust 5 UAD 9/28/51 FBO Benjamin R. Sigelman, as trustee of the Issue Trust 5 UAD 9/28/51 FBO Jonathan R. Sigelman, as trustee of the Issue Trust 5 UAD 9/28/51 FBO David R. Varet, as beneficiary of the Issue Trust 5 UAD 9/28/51 FBO Elizabeth R. Varet, as trustee of the Issue Trust 5 UAD 9/28/51 FBO Joseph R. Varet, as trustee and Beneficiary of the Issue Trust 6 UAD 8/13/65 FBO Elizabeth R. Varet, as trustee of the Issue Trust 6 UAD 8/13/65 FBO Alice Rosenwald, as trustee of the Issue Trust 6 UAD 8/13/65 FBO Nina Rosenwald, as trustee of the Trust UAD 3/4/92 FBO Benjamin R. Sigelman, and as trustee of the Trust UAD 3/4/92 FBO Jonathan R. Sigelman;*  
*Stuart H. Coleman, as trustee of the Apollo Trust for Elizabeth R. Varet UAD 2/10/69, as trustee of the Apollo Trust 2/10/69 FBO Nina Rosenwald, as trustee of Issue 1 Trust UAD 8/30/41 FBO Nina Rosenwald, as trustee of Issue 1 Trust UAD 8/30/41 FBO Elizabeth R. Varet, as trustee of Issue 1 Trust UAD 8/30/41 FBO Alice Rosenwald, as trustee of Issue Trust 5 UAD 9/28/51 FBO Alice Rosenwald, as trustee of Issue Trust 5 UAD 9/28/51 FBO Nina Rosenwald, as trustee of Issue Trust 5 UAD 9/28/51 FBO Benjamin R. Sigelman, as trustee of Issue Trust 5 UAD 9/28/51 FBO Jonathan R. Sigelman, as trustee of Issue Trust 5 UAD 9/28/51 FBO David R. Varet, as trustee of Issue Trust 5 UAD 9/28/51 FBO Elizabeth R. Varet, as trustee of Issue Trust 6 UAD 8/13/65 FBO Elizabeth R. Varet, as trustee of Issue Trust 6 UAD 8/13/65 FBO Alice Rosenwald and as trustee of Issue Trust 6 UAD 8/13/65 FBO Nina Rosenwald;*  
*Ellen V. Greenspan, as trustee of the Trust 11/9/94 (A. Anagnos et. al., trustees); Alice Rosenwald a/k/a Alice Sigelman, individually, as custodian for Jonathan Sigelman, as custodian for Benjamin R. Sigelman, as trustee and beneficiary of Issue 1 Trust UAD 8/30/41 FBO Alice Rosenwald, as*

*trustee and beneficiary of Issue Trust 6 UAD 8/13/65 FBO Alice Rosenwald, as trustee of the Apollo Trust for Elizabeth R. Varet UAD 2/10/69, as trustee of the Apollo Trust 2/10/69 FBO Nina Rosenwald, as trustee of Issue 1 Trust UAD 8/30/41 FBO Nina Rosenwald, as trustee of Issue 1 Trust UAD 8/30/41 FBO Elizabeth R. Varet, as trustee of Issue Trust 5 UAD 9/28/51 FBO Jonathan R. Sigelman, as trustee of Issue Trust 5 UAD 9/28/51 FBO David R. Varet, as trustee of Issue Trust 5 UAD 9/28/51 FBO Elizabeth R. Varet, as trustee of Issue Trust 5 UAD 9/28/51 FBO Sarah R. Varet, as trustee of Issue Trust 6 UAD 8/13/65 FBO Elizabeth R. Varet, as trustee of Issue Trust 6 UAD 8/13/65 FBO Nina Rosenwald and as Executrix of the Estate of Jesse L. Sigelman; Nina Rosenwald, individually, as trustee of the Apollo Trust for Elizabeth R. Varet UAD 2/10/69, as trustee of Issue 1 Trust UAD 8/30/41 FBO Alice Rosenwald, as trustee of Issue 1 Trust UAD 8/30/41 FBO Elizabeth R. Varet, as trustee of Issue Trust 5 UAD 9/28/51 FBO Benjamin R. Sigelman, as trustee of Issue Trust 6 UAD 8/13/65 FBO Elizabeth R. Varet, as trustee of Issue Trust 6 UAD 8/13/65 FBO Alice Rosenwald, as trustee of Issue Trust 5 UAD 9/28/51 FBO Alice Rosenwald, as trustee and beneficiary of the Apollo Trust 2/10/69 FBO Nina Rosenwald, as trustee and beneficiary of Issue 1 Trust UAD 8/30/41 FBO Nina Rosenwald, as trustee and beneficiary of Issue Trust 5 UAD 9/28/51 FBO Nina Rosenwald and as trustee and beneficiary of Issue Trust 6 UAD 8/13/65 FBO Nina Rosenwald; Benjamin R. Sigelman, individually, as beneficiary of the Issue Trust 5 UAD 9/28/51 FBO Benjamin R. Sigelman, and as beneficiary of the Trust UAD 3/4/92 FBO Benjamin R. Sigelman; Jonathan R. Sigelman, individually, and as beneficiary of the Issue Trust 5 UAD 9/28/51 FBO Jonathan R. Sigelman, as beneficiary of the Trust UAD 3/4/92 FBO Jonathan R. Sigelman; The Estate of Jesse L. Sigelman;*

*David R. Varet;*  
*Joseph R. Varet, individually, and as*  
*beneficiary of the Issue Trust 5 UAD 9/28/51*  
*FBO Joseph R. Varet;*  
*Michael A. Varet, individually, as beneficial*  
*owner of one or more IRAs, as custodian for*  
*David R. Varet and Sarah R. Varet, and as*  
*trustee of the Michael A. Varet Trust UAD*  
*11/9/94;*  
*Sarah R. Varet, individually and as trustee and*  
*beneficiary of the Issue Trust 5 UAD 9/28/51*  
*FBO Sarah R. Varet;*  
*Elizabeth R. Varet IRA Rollover;*  
*Michael A. Varet IRA Rollover;*  
*Michael A. Varet IRA Rollover #2;*  
*Elizabeth R. Varet Defined Benefit Plan &*  
*Trust;*  
*Elizabeth R. Varet Money Purchase Pension*  
*Plan;*  
*Decimal Investments, L.L.C.;*  
*Hudson Charitable Fund;*  
*P&I Partners;*  
*The Abstraction Fund;*  
*American Philanthropic Foundation;*  
*Anchorage Charitable Fund;*  
*JJG Foundation, Inc.;*  
*Metropolitan Philanthropic Fund, Inc.*  
*Alice Rosenwald Fund;*  
*William Rosenwald Family Fund, Inc.;*  
*James R. Ledley, as trustee of Issue Trust 5*  
*UAD 9/28/51 FBO Jonathan R. Sigelman and as*  
*trustee of the Trust UAD 3/4/92 FBO Jonathan*  
*R. Sigelman;*  
*Alexander G. Anagnos as trustee of the 2004 V*  
*Trust and as trustee of Trust 11/9/94 (A.*  
*Anagnos et al., trustees);*  
*Ronald Stein as trustee of the Trust UAD 3/4/92*  
*FBO Benjamin R. Sigelman and as trustee of the*  
*Trust UAD 3/4/92 FBO Jonathan R. Sigelman;*  
*Belinda Clarke, as trustee for the Concorde*  
*1987 Trust DTD 12/9/87; and*  
*Peter Pearman, as trustee for the Concorde*  
*1987 Trust DTD 12/9/87.*

| <b>Defendants</b>   |
|---|
| 1185 Park Avenue Foundation, Inc.                                     |
| Abe Mastbaum  |
| Abe Mastbaum IRA  |
| Abe Mastbaum IRA Rollover   |
| Abe Mastbaum IRA SEP  |
| Abstraction Fund  |
| Alexander G. Anagnos, as trustee                                      |
| Alice Rosenwald a/k/a Alice R. Sigelman                               |
| Alice Rosenwald Fund  |
| American Philanthropic Foundation                                     |
| American Securities Group, L.L.C.                                     |
| American Securities Holdings Corp.                                    |
| American Securities Management, L.P., f/k/a American Securities, L.P. |
| American Securities Opportunity Fund, L.P.                            |
| Anchorage Charitable Fund   |
| Andrew B. Klein   |
| Anthony R. Grillo, as trustee   |
| Arlene Marie Pettingill   |
| AS Hirota Holdings Corp.  |
| Barry F. Margolius, as trustee  |
| Belinda Clarke, as trustee  |
| Benjamin E. Nickoll, as trustee                                       |
| Benjamin R. Sigelman  |
| Catherine L. Steinmann  |
| CDK Partners  |
| Charles D. Klein  |
| Charles D. Klein IRA Rollover   |
| Charles D. Klein Money Purchase Pension Plan                          |
| Darmel Management, L.L.C.   |
| David P. Steinmann  |
| David P. Steinmann IRA Rollover #1                                    |
| David P. Steinmann IRA Rollover #2                                    |
| David P. Steinmann Money Purchase Pension Plan                        |
| David R. Varet  |
| David Steinmann Defined Benefit Plan                                  |
| Decimal Investments, L.L.C.   |
| Elizabeth Klein   |
| Elizabeth R. Varet  |
| Elizabeth R. Varet Defined Benefit Plan & Trust                       |
| Elizabeth R. Varet IRA Rollover                                       |
| Elizabeth Varet Money Purchase Pension Plan                           |
| Ellen V. Greenspan, as trustee  |
| Estate of Jesse L. Sigelman   |

**Exhibit A**

| <b>Defendants</b>                                      |
|--|
| Gabriel B. Steinmann                                   |
| George Anagnos   |
| Hudson Charitable Fund                                 |
| Ivo Rothschild   |
| James R. Ledley, as trustee                            |
| Jane P. Klein  |
| Jane P. Klein IRA                                      |
| Jason Mastbaum   |
| Jennifer E. Steinmann                                  |
| JJG Enterprises  |
| JJG Foundation, Inc.                                   |
| Jonathan R. Sigelman                                   |
| Joseph A. Rossetti IRA Rollover                        |
| Joseph A. Rossetti, as beneficial owner of an IRA      |
| Joseph J. Nicholson                                    |
| Joseph R. Varet  |
| Josephine G. Anagnos                                   |
| Joshua Steinmann                                       |
| Joyce W. Goldstein                                     |
| Joyce W. Goldstein IRA                                 |
| Klein Family Holdings, L.L.C.                          |
| Laila Hafner IRA                                       |
| Laila Hafner, as beneficial owner of an IRA            |
| Laura M. Roberson-Fisch IRA                            |
| Laura M. Roberson-Fisch Money Purchase Pension Plan    |
| Laura M. Roberson-Fisch Profit Sharing Plan            |
| Laura M. Roberson-Fisch, as beneficial owner of an IRA |
| Laurence Ach, as trustee                               |
| Maria Anagnos-Pierce                                   |
| Metropolitan Philanthropic Fund, Inc.                  |
| Michael A. Varet                                       |
| Michael A. Varet IRA Rollover                          |
| Michael A. Varet IRA Rollover #2                       |
| Michael G. Fisch                                       |
| Michael G. Fisch IRA                                   |
| Michael G. Fisch Profit Sharing Plan                   |
| Minor #1   |
| Nan M. Harman, as trustee                              |
| Neil B. Goldstein                                      |
| Neil B. Goldstein IRA Rollover                         |
| Nina Rosenwald   |
| P&I Partners   |
| Peter Pearman, as trustee                              |

| <b>Defendants</b>                               |
|---|
| PJ Administrator, L.L.C.                        |
| PJ Associates Group GP Corp.                    |
| PJ Associates Group, L.P.                       |
| Reed L. Harman, as trustee                      |
| Ronald J. Stein, as trustee                     |
| Sarah R. Varet                                  |
| South Lake, L.L.C.                              |
| Steven Anagnos                                  |
| Stuart H. Coleman, as trustee                   |
| Susan C. Wolfe, as trustee                      |
| The Charles and Jane Klein Family Fund          |
| The Reed L. Harman and Nan M. Harman Foundation |
| Wallace Aptman                                  |
| Wallace Aptman IRA Rollover                     |
| William Rosenwald Family Fund, Inc.             |